



CUSTOMER DUE DILIGENCE ("CDD") FORM

(PURSUANT TO ITALIAN LEGISLATIVE DECREE N. 231/2007 IMPLEMENTING Directive (EU) 2015/849 AS AMENDED)

By signing this Form, the interested party declares to have read the "Information for customers" section contained at the bottom of it. We also invite you to read the information provided on the customer's obligations and on the criminal sanctions provided for by Legislative Decree no. lgs. n. 231/2007 in case of false or untruthful declarations. **The information contained in this Form, once completed, is to be considered confidential.**

A. CUSTOMER IDENTIFICATION

Legal name:

Tax code (if any)¹:

Institutional headquarter

Legal address:

City:

Country:

B. IDENTIFICATION OF THE EXECUTOR (the Undersigned)

When the Customer is a legal entity, "executor" means the natural person acting on behalf of the customer upon due authorization (i.e. person empowered to act).

Source of the power to act on behalf of the customer:

Legal
representative

Appointment
Resolution

Proxy
Statement

Other:

Role held:

Identification data

Surname:

Name:

Tax Code (if any)¹:

Date of birth:

Country of birth:

Place of residence

Address:

City:

Country:

Place of domicile (if different from residence)

Address:

City:

Country:

¹ Tax Code is included among the identification data if it is issued by the Italian Revenue Agency.



C. IDENTIFICATION OF THE BENEFICIAL OWNER

“Beneficial owner” means any natural person(s) who ultimately owns or controls the Customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted.

When the Customer's ownership and control structure does not allow the unambiguous identification of natural persons to whom the direct or indirect ownership of the body or its control is attributable (e.g. as in the cases of public bodies and public law bodies), the beneficial owner coincides with the natural person who hold the position of senior managing official (e.g. legal representative).

C.1 Identity details of the beneficial owner

Identification data

Surname:

Name:

Tax Code (if any)¹:

Data of birth:

Country of birth:

Place of residence

Address:

City

Country:

Place of domicile (if different from residence)

Address:

City:

Country:

Role held:



Does the beneficial owner belong to categories of Politically Exposed Persons (PEP)?

YES

NO

If yes, please indicate the public role held and/or the relationship with a Politically Exposed Person and his/her role, with reference to the following definition:

Politically Exposed Persons shall mean natural persons who are or have been entrusted less than a year with prominent public functions and the immediate family members and persons known to be close associates of such persons, identified based on the following criteria:

1. natural persons who are or have been entrusted with prominent public functions shall mean:
 - a) heads of Country, heads of government, ministers and undersecretaries, head and assessor of region, mayor of provincial capital or metropolitan city, mayor of municipality with a population of not less than 15,000 inhabitants and similar offices in foreign countries;
 - b) members of parliament, European parliamentarian, regional councilor and similar offices in foreign countries;
 - c) member of central decision-making bodies of political parties;
 - d) members of supreme courts, constitutional courts and other high-level judicial bodies, whose rulings are not generally subject to further appeal, barring exceptional circumstances;
 - e) members of Country audit offices and of the boards of directors of central banks;
 - f) ambassadors, chargés d'affaires or equivalent positions in foreign countries, high-ranking officials in the armed forces or similar offices in foreign countries;
 - g) members of the administrative, management or supervisory bodies of subsidiaries control, even indirectly, by the Country or by a foreign Country or participated, with a prevalent or totalitarian measure, by the Regions, by provincial capitals and metropolitan cities and by municipalities with a total population of no less than 15,000 inhabitants;
 - h) general manager of ASL or hospital, university hospital and other national health service organizations;
 - i) director, deputy director and member of the management body or entity carrying out equivalent functions in international organizations;
2. Close relatives shall mean: parents, spouses or person linked in civil union or cohabitation in fact or similar institutions to politically exposed children and their spouses as well as persons linked to the children in civil union or cohabitation in fact or similar institutions;
3. For the purposes of identifying the persons with whom the natural persons listed in paragraph 1 above are known to have close relationships, reference shall be made to:
 - a) any natural person who is known to have joint beneficial ownership of legal entities or any other close business relationship with a person referred to in paragraph 1;
 - b) any natural person who is the sole beneficial owner of legal entities or legal persons known to have been de facto established for the benefit of a person referred to in paragraph 1.



D. DECLARATION ON THE INTENDED NATURE AND PURPOSE OF THE BUSINESS RELATIONSHIP

Intended nature

Establishment of a financing relationship (loan)

Request for a disbursement

Other (*specify*):

Purpose:

The transaction relates to oil, weapons, precious metals, tobacco products, cultural artifacts and other movable assets of archaeological, historical, cultural and religious importance or of rare scientific value, as well as ivory and protected species:

NO

YES (*specify*):

Country of destination of the funds involved in the operation

Italy

Europe

Extra-UE (*specify*):

E. DECLARATION PROVIDED PURSUANT TO ART. 22 OF LEGISLATIVE DECREE 231/2007

The Undersigned, being aware of the criminal liability to which it may be subject pursuant to art. 55 of Legislative Decree no. 231/2007 in the event of an omission or false information (see following information notice related), in compliance with the provisions of art. 22 of said Decree, declares that the information provided herein is true and complete, even with regard to the beneficial owner.

Place and Date:

Signature of Declarant:

Identification documents to be attached:

- Copy of the Executor's identification document;
- Only if the executor is different from the legal representative, copy of the document from which the executor's power of act derives;

CDP reserves the right to request, if deemed necessary, a copy of the identification document of the Beneficial Owner or other documents.



INFORMATION ON THE CUSTOMERS' OBLIGATIONS PROVIDED FOR D. LGS. N. 231 OF 21 NOVEMBER 2007

Article 22 "Obligations of the customer"

Customers shall provide in writing, on their own responsibility, all the necessary and updated information for the natural and legal persons subject to this decree to comply with the customer due diligence requirements.

Article 55 paragraph 3 "Criminal sanctions"

Unless the act constitutes a more serious crime, anyone who is obliged, pursuant to this decree, to provide the data and information necessary for the identification due diligence, provides false data or information, shall be punished with from six months' to three years imprisonment and a fine of from €10,000 to €30,000.

INFORMATION NOTICE FOR CUSTOMERS

1. Data Controller

Pursuant to the European Regulation 2016/679 (below GDPR), Cassa Depositi e Prestiti S.p.A. (below, CDP), with head office in Rome, via Goito n. 4 (00185), processes your personal data in its capacity as "Data Controller" in compliance with the provisions of law and informing you of the use of said personal data. The Personal Data Protection Officer can be contacted by writing to the email address privacy@cdp.it.

2. Sources and type of data processed

The personal data held by CDP are collected directly from the customers or from third parties, for example Public Administrations, Public Registers, Chambers of Commerce, Databases of private companies. The data processed by CDP can include personal information (*first name, surname, date of birth, address, image, sex, marital status, tax identification no., etc.*) and contact information. Furthermore, in complying with specific requirements relative to management of the business relationship (for example mandatory communications to the Authorities), and in the case of customer communications, CDP may process particular categories of data in accordance with art. 9 GDPR and data relating to criminal convictions and offences in accordance with art. 10 of the GDPR.

3. Purpose and legal basis of the data processing

The personal data are processed during the normal activity of CDP according to the following purposes: a) Purposes strictly connected with and instrumental to the establishment and management of relations with the customers pursuant to art. 6 paragraph 1 letter b) GDPR; b) purposes deriving from legal obligations, regulations, EU law, provisions issued by authorities authorized for the purpose by the law or by supervisory and control bodies pursuant to art. 6 paragraph 1 letter c) GDPR. Provision of personal data for the above purposes is necessary to finalize, carry out or continue the contract relationship with CDP.

4. Data processing method

In relation to the purposes specified, processing of the personal data is carried out by manual, IT and telematic instruments using logic appropriate for the purposes and, in any case, such as to guarantee the security and confidentiality of the data. Protection is ensured also in the presence of innovative instruments introduced by CDP.

5. Storage of personal data

Your personal data will be stored only for the time necessary for the purposes for which they are collected in accordance with the principle of minimization pursuant to art. 5.1.c) GDPR.

6. Categories of subjects to whom the data can be communicated

For pursuit of the above purposes, CDP may communicate your personal data to third parties if said data communication operations are instrumental to the services requested and provided. Your data may furthermore be communicated to managers of private credit information systems. Further communications will be possible to guarantors, if relevant to the existing guarantee relationship. Your data may furthermore be communicated to companies forming part of the group, for administrative and accounting purposes, and to third parties in compliance with legal requirements. The subjects belonging to the above-mentioned categories perform the function of Data Supervisor or operate totally autonomously as distinct Data Controllers.

7. Transfer data outside the EU

CDP will carry out the processing in accordance with the procedures permitted by the current law, such as consent of the Data Subject, adoption of standard clauses approved by the European Commission, selection of subjects adhering to international programs for the free circulation of data (e.g. EU-USA Privacy Shield) or operating in countries considered safe by the European Commission.

8. Rights of the Data Subject

You are informed that art. 15-22 GDPR entitle the Data Subjects to exercise specific rights. These rights can be exercised by request sent by post to Cassa depositi e prestiti S.p.A., via Goito, n. 4, 00185 Rome, att. Personal Data Protection Officer, or by email directly to said Officer, at the contacts provided in point 1. The Data Subject also has the right to raise complaints with the Italian Data Protection Authority.